

Nominee Account Charges 10841

(Effective 24/07/2025)

This charge sheet is incorporated into and forms part of the First Equity Limited Terms of Business

Execution only and Advisory dealing service fee

Costs per trade		EXAMPLE 1: A client wishes to buy company X totalling £15,000.00	
Commission Rate	1% of transaction value	Commission payable (min £35)	$£15,000 \times 1\% = £150.00$
Admin Fee	£20 per trade	Admin fee	= £20.00
Minimum Commission	£35 per trade	Total Commission & Charges	= £170.00
Pre-IPOs, New Issues & Placings		EXAMPLE 2: A client wishes to buy 15,000.00 in a placing in company Y	
Up to 5% of transaction value		Commission payable	$£15,000.00 \times 5\% = £750.00$
		Admin fee	= £20.00
		Total Commission & Charges	= £770.00

Discretionary Managed Service fee

First £500,000:	1.25%	EXAMPLE 1: A managed portfolio valued at £150,000 at month end	
Next £500,000:	0.75%	1.25% on first £500k	$£150,000 \times 1.25\% / 12 = £156.25$
Next £1,000,000:	0.50%	Total End Month Fee (£2,500 annual minimum / 12)	= £208.33
Bal > £2,000,000:	0.375%	EXAMPLE 2: A managed portfolio valued at £2,500,000 at month end	
Minimum:	£2,500	1.25% on first £500k	$£500,000 \times 1.25\% / 12 = £520.83$
Minimum portfolio size:	£150,000	0.75% on next £500k	$£500,000 \times 0.75\% / 12 = £312.50$
Annual rates, calculated and debited monthly		0.5% on next £1mn	$£1,000,000 \times 0.5\% / 12 = £416.67$
using end of month portfolio value divided by 12.		0.375% on balance over £2mn	$£500,000 \times 0.375\% / 12 = £156.25$
		Total End Month Fee	= £1,406.25

Costs per trade		EXAMPLE 3: A trade for company X totalling £15,000.00	
Dealing Commission:	£35 per trade	Commission payable	= £35.00
Admin Fee:	£20 per trade	Admin Fee	= £20.00
		Total Commission & Charges	= £55.00

Investment Style (Discretionary Managed Service)

Active Decision-Making: Our investment managers make strategic adjustments to portfolio holdings in response to market trends and economic shifts. Asset Diversification: We spread investments across a wide range of asset classes, including equities, fixed income, alternative investments, and cash, to mitigate risk. Continuous Monitoring & Adjustment: Client portfolios are monitored regularly to ensure they remain aligned with the investment strategy and objectives.

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IHT Portfolio Product Service fee

First £500,000:	1%
Next £500,000:	0.75%
Next £1,000,000:	0.50%
Bal > £2,000,000:	0.375%
Minimum fee:	£600
Minimum portfolio size:	£40,000

Annual rates, calculated and debited monthly
using end of month portfolio value divided by 12.

EXAMPLE 1: A managed portfolio valued at £40,000 at month end

1% on first £500k $\text{£40,000} \times 1\% / 12 = \text{£33.33}$

Total End Month Fee (£600 annual minimum / 12) = £50.00

EXAMPLE 2: A managed portfolio valued at £2,500,000 at month end

1% on first £500k $\text{£500,000} \times 1.25\% / 12 = \text{£416.67}$

0.75% on next £500k $\text{£500,000} \times 0.75\% / 12 = \text{£312.50}$

0.5% on next £1mn $\text{£1,000,000} \times 0.5\% / 12 = \text{£416.67}$

0.375% on balance over £2mn $\text{£500,000} \times 0.375\% / 12 = \text{£156.25}$

Total End Month Fee = £1,302.09

Costs per trade

Dealing Commission:	£35 per trade
Admin Fee:	£20 per trade

EXAMPLE 3: A trade for company X totalling £15,000.00

Commission payable = £35.00

Admin Fee = £20.00

Total Commission & Charges = £55.00

Investment Style (IHT Portfolio Product Service)

A portfolio of direct investments into London Stock Exchange AIM Market companies, with continuous monitoring & adjustment ensure they remain aligned with the investment strategy and objectives.

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Safe Custody Charges

UK	Free of Charge
All Other Countries	Free of Charge
Residual Holdings	£30 per month per certificate

Additional external dealing charges

Stamp Duty

UK Equities 0.5% on all purchases. Irish Equities 1% on all purchases.

Panel on Takeovers & Mergers (PTM) Levy

£1.50 on transactions in UK Equities where the consideration is £10,000 and greater.

Exchange fees, duties and local taxes may apply to shares traded on non UK markets.

Other Charges & Services

Faster Pay T+1 payments	Require instructions to be received by 13:00	Free of charge
CHAPS transfer fee	Require instructions to be received by 11:00	£15
Overseas international payments	Require instructions to be received by 11:00	£15
Faster Pay Same Day	Require instructions to be received by 11:00	£10
Transfer Out (UK Crest)		£10
Certificated withdrawal (UK Crest)		£50
Foreign exchange Transactions		£25
