

## Nominee and Certificated Account Charges

(Effective 01/01/2022)

This charge sheet is incorporated into and forms part of the First Equity Limited Customer Agreement

### Commission Rates (UK)

Agency Trades		EXAMPLE 1: A client wishes to buy company X totalling £15,000.00		
On the first £1 - £10,000	1.5% of transaction value	Commission payable	£10,000.00 x 1.5%	= £150.00
On the next £10,000 and above	1% of transaction value		£5,000.00 x 1%	= £50.00
Admin Fee	£16.00 per trade	Admin fee		= £16.00
Minimum Commission	£20 per trade	Total Commission & Charges		= £216.00
Pre-IPOs, New Issues & Placings		EXAMPLE 2: A client wishes to buy 15,000.00 in a placing in company Y		
Up to 5% of transaction value		Commission payable	£15,000.00 x 5%	= £750.00
		Admin fee		= £16.00
		Total Commission & Charges		= £766.00

### Safe Custody Charges

UK	Free of Charge			
All Other Countries	0.05% + VAT per annum. Charged monthly using month end value. Minimum £3.15 + VAT per month	EXAMPLE: A client holds shares with a month end value of £100,000		
		Monthly custody charge	£100,000 x 0.05% / 12	= £4.17
		VAT @ 20%	£4.17 x 20%	= £0.83
Residual Holdings	£3.15 + VAT per month per holding	Total Monthly Charge		= £5.00

### Account charge

£50 plus VAT for each 6 month period (April to September and October to March). The charge will be applied to your account at the end of each of these periods but will be waived if you transact at least 2 trades in the period.

The fee will additionally be waived in the initial six month period in which the account is opened and the final six month period in the event that an account is closed.

### Additional external dealing charges

#### Stamp Duty

UK Equities 0.5% on all purchases. Irish Equities 1% on all purchases.

#### Panel on Takeovers & Mergers (PTM) Levy

£1.00 on transactions in UK Equities where the consideration is £10,000 and greater.

Exchange fees, duties and local taxes may apply to shares traded on non UK markets.

## Nominee and Certificated Account Charges

(Continued)

### Other Charges & Services

BACS up to 3 days	Require instructions to be received by 14:00pm	Free of charge
CHAPS transfer fee	Require instructions to be received by 12:00pm	£26.25 + VAT
Overseas payment		£26.25 + VAT
Cheque payments		£26.25 + VAT
Unpaid incoming cheque		£52.50 + VAT
Payment Return (per occasion)		£52.50 + VAT
Late settlement fee		£21 + VAT
Late delivery charge		£26.25 + VAT
Transfer Out (UK Crest)		£10.50 + VAT
UK shares registrar credit (UK Crest)		£10.50 + VAT
Certificated withdrawal (UK Crest)		£21 + VAT
ISA Closure Fee		£52.50 + VAT
Proxy vote fee	Require 1 weeks notice prior to vote/meeting	£21 + VAT
Foreign exchange Transactions		£25

### Interest on Client accounts

Interest accrued on sterling Account Money not immediately committed for investment shall be calculated monthly at 1% below the published HSBC standard current account rate. Interest accrued of less than £1.00 arising on each payment event shall not be paid. No interest will be paid on non-sterling Account money.

For client accounts that have debit balances the HSBC Bank's unauthorised overdraft rate will be applied.

Interest will be applied monthly.